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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: **Cook**

Serial No.: **10/047,801**

Filed: **January 15, 2002**

For: **Method, Apparatus, and  
Program for a State Machine  
Framework**

**35525**  
PATENT TRADEMARK OFFICE  
CUSTOMER NUMBER

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Group Art Unit: **2127**

Examiner: **Bullock, Jr., Lewis Alexander**

Attorney Docket No.: **AUS920010995US1**

Certificate of Mailing Under 37 C.F.R. § 1.8(a)  
I hereby certify this correspondence is being deposited with the United States Postal Service as First Class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on Sep 14, 2005.  
By: Louise Fdy  
Louise Fdy

**TRANSMITTAL DOCUMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

ENCLOSED HEREWITH:

- Supplemental Information Disclosure Statement;
- Form PTO-1449;
- References AA-AC; and
- Our return postcard.

A fee of \$180 is believed to be necessary. I authorize the Commissioner to charge these fees required to Deposit Account No. 09-0447. No extension of time is believed to be necessary. If, however, an extension of time is required, the extension is requested, and I authorize the Commissioner to charge any fees for this extension to Deposit Account No. 09-0447.

Respectfully submitted,

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

**Cook**

Serial No: **10/047,801**

Filed: **January 15, 2002**

Title: **Method, Apparatus and Program for  
a State Machine Framework**

Group Art Unit: **2195**

Intellectual Property  
Law Department  
International Business  
Machines Corporation  
11400 Burnet Road  
Austin, Texas 78758

09/21/2005 SHASSEN1 00000028 090447 10047801

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**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the patentability of this application and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. 1.56.

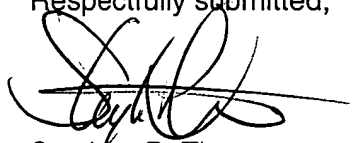
While this Supplemental Information Disclosure Statement may be "material" pursuant to 37 C.F.R. 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 C.F.R. 1.97(g) the filing of this Supplemental Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56 (b) exists.

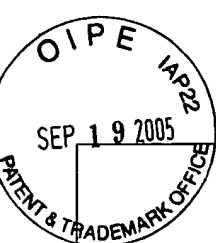
The patents and/or publications submitted herewith are set forth on the attached form, PTO-1449.

This Supplemental Information Disclosure Statement is being filed before the mailing date of any of a final action, a notice of allowance, or an action that otherwise closes prosecution of the application. Please charge the required fee of \$180.00 as set forth in § 1.17(p) to Deposit Account No. 09-0447.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'Stephen R. Tkacs', written over a horizontal line.

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Substitute for form 1449/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

### Complete if Known

Application Number	10/047,801
Filing Date	January 15, 2002
First Named Inventor	Cook
Examiner Name	Bullock, Jr., Lewis Alexander
Art Unit	2195
Attorney Docket No.	AUS920010995US1

Examiner Initials	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
AA	5,469,553	Nov. 21, 1995	Patrick	
AB	5,717,692	Feb. 10, 1998	Blackwell	
AC	2002/0032692 A1	Mar. 14, 2002	Suzuki et al.	

### FOREIGN PATENT DOCUMENTS

Examiner Initial	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear

### NON PATENT LITERATURE

Examiner Initial	Include name of author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.

Examiner:

Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.